

Knightville Dam Connecticut River Basin Huntington, Massachusetts

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REVIEW OF STRUCTURAL STABILITY
KNIGHTVILLE DAM
HUNTINGTON, MASSACHUSETTS
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DEPARTMENT OF THE ARMY
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KNIGHTVILLE DAM

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NOTE: Appendix B is a separate volume

SUMMARY OF REPORT

A stability analysis of the principal concrete structures at Knightville Dam was performed to determine whether these structures satisfy current design criteria. The structural elements considered and the qualitative results of the analysis are as listed:

<u>Structure</u>	<u>All Criteria Satisfied</u>
Intake Tower	Yes
Bridge Piers	Yes
Spillway	No
Spillway Retaining Walls	Yes
Concrete Toe Wall	Yes

All of the concrete structures satisfy the prescribed requirements, except the spillway monoliths at maximum flood discharge condition. In this loading case the overturning stability criteria is not met for section B/24, the forth monolith from the east end, and section E/26 (respective percentages of base in bearing are 84, 62, 47). No remedial work is recommended for the following reasons:

1. The resultant does occur substantially within the base and is stable against overturning, although the criteria per se is not met.
2. The probability of full discharge is small.
3. As the spillway is cut from existing rock, there is little possibility of undermining due to erosion.

REVIEW OF STRUCTURAL STABILITY

KNIGHTVILLE DAM

PART I

GENERAL DESCRIPTION

1.1 Purpose

The objective of this study is to review the stability of the principal concrete structures, based upon current criteria in cases where the original design criteria were less conservative. This review is performed to comply with Corps of Engineers regulation ER-1110-2-100, Periodic Inspection and Continuing Evaluation of Completed Civil Works Structures (28 February 1977).

1.2 Stability Criteria

The current stability criteria by which this project is evaluated are contained in the following Corps of Engineers publications:

Engineering Manuals:

EM 1110-1-2101	Working Stress for Structural Design, 1 Nov 1963 (with Change 2, 17 Jan 1972)
EM 1110-2-2200	Gravity Dam Design, 25 Sept 1958 (with Change 2, 23 Nov 1960)
EM 1110-2-2400	Structural Design of Spillways and Outlets Works, 2 Nov 1964)
EM 1110-2-2501	Wall Design: Flood Walls, Jan 1948 (with Change 3, 18 June 1962)
EM 1110-2-2502	Retaining Walls, 29 May 1961 (with Change 3, 25 Jan 1965)

Engineer Technical Letter:

ETL 1110-2-256	Sliding Stability for Concrete Structures, 24 June 1981
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Engineering Regulations:

ER 1110-2-1806	Earthquake Design and Analysis for Corps of Engineers Dams, 16 May 1983.
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1.3 Pertinent References

Pertinent data, computations and drawings are contained in the following:

Analysis of Design Appendix A - Knightville Dam 1939

Analysis of Design - Knightville 1938

Periodic Inspection Report No. 1 - Knightville Dam December 1973

1.4 Project Description

Knightville Dam is located on the Westfield River about 4 miles north of the town of Huntington, Massachusetts. Construction of the dam and other structures was initiated in 1939 and completed in 1941. Recreational facilities were provided. The dam is of the hydraulic earth-fill type with a dumped rock shell. It has a top length of 1,200 feet and a maximum height above the stream bed of 160 feet. A curved concrete spillway, about 405 feet long, is located on rock in a natural saddle at the west end of the dam. The crest of the spillway is at Elevation 610; this is 20 feet below the top of dam to insure the dam against overtopping during the design discharge flood. Gated outlet works, founded on bedrock, are located under and at the west end of the dam embankment. The three gates are normally kept open and the reservoir empty. During time of flood, the gates are closed to temporarily store floodwaters in the reservoir.

The Knightville spillway was originally designed for a discharge of 91,000 cfs with a surcharge of 15 feet (EL. 625 NGVD) and a design freeboard of 5.0 feet. A review of the spillway design flood in the nineteen sixties using probable maximum precipitation from the hydro meteorological report #33 indicated a peak spillway discharge assuming outlet gates in operative, of 145,000 cfs with a maximum surcharge of 19.3 ft. (EL. 629.3 NGVD) and a remaining freeboard of 0.7 feet. Further review in the nineteen seventies still using HMR #33 rainfall but assuming the gates operable resulted in a revised spillway design discharge of 130,000 cfs with a maximum surcharge of 17.3 feet (EL. 627.3 NGVD) and a resulting freeboard of 2.7 feet.

1.5 Pertinent Hydraulic Data

The hydraulic data used for this review of structural stability are as follows:

Full Pool Condition - Reservoir at spillway crest elevation 610.0; downstream tailwater in outlet channel at elevation 463.0.

Design Discharge Condition - Reservoir at spillway design flood maximum surcharge elevation 629.3 with gates closed, elevation 627.3 with gates open, downstream tailwater in the outlet channel is at elevation 507.0.

1.6 Discussion of Analysis and Criteria

The principal structural elements analyzed for stability consists of the following:

- (a) INTAKE TOWER
- (b) BRIDGE PIERS
- (c) SPILLWAY
- (d) SPILLWAY RETAINING WALLS
- (e) CONCRETE TOE WALL

Sliding stability of structures subjected to lateral loadings is assessed by the criteria presented in ETL 1110-2-256. The adequacy of sliding resistance is evaluated by determining a safety factor that is applied to the resisting shearing forces in a manner which places the forces acting on the structure in sliding equilibrium. For all of the structures analyzed, except for the spillway training walls, a minimum factor of safety of 2.0 is required for all conditions of loading when earthquake is not considered. For loading conditions when earthquake is considered, this factor of safety should exceed 1.3. The spillway training walls should have a factor of safety greater than 1.5 for all loading conditions.

The resistance to overturning is determined according to current criteria by the location of the resultant of vertical forces at the base. The resultant should be located within the middle third of the base for all conditions of loading when earthquake is not considered. For loading conditions where earthquake is considered, it is acceptable if the resultant stays within the base, provided that allowable foundation pressures are not exceeded. For retaining walls founded on rock, the resultant may be outside the middle third, but within the base, if foundation pressures are within allowable values and the factor of safety against sliding is adequate. There have been no significant changes in overturning criteria since the original computations were made.

Knightville Dam is located in Seismic Zone 2 (moderate damage) as shown on the Seismic Zone Map of Contiguous States, included with ER 1110-2-1806. Therefore, this analysis takes into account earthquake forces induced by accelerations equal to 0.10g. Earthquake forces were not considered in the original design computations.

In accordance with EM 1110-2-2200, the seismic forces applied to this stability analysis are as follows:

(a) Inertia force Pe_1 due to acceleration of the structure, acting through the center of gravity in any direction. $Pe_1 = 0.10W$, where W is the weight of the structure.

(b) Inertia force Pe_2 induced by the impoundment of water. This force is computed using Westergaard's formula and the following parameters are used throughout: acceleration equal to $0.10g$, period of vibration equal to 1 second, $C = 51 \text{ lbs/ft}^3$. (When a structure was completely surrounded by water, the virtual mass method was applied per EM-1110-2400 P. 27.)

(c) Dynamic earth pressure, as outlined in EM 1110-2-2502, is accounted for by adding the weight of backfill between a sloping wall and a vertical plane through the heel to the wall weight for computation of inertia force Pe_1 .

No vertical acceleration is considered in this analysis. Uplift is assumed to be unaffected by earthquake accelerations.

The uplift pressure at any point under a structure is the tailwater pressure plus the pressure measured as an ordinate from tailwater to the hydraulic gradient between the upstream and downstream pool. Uplift pressure is considered to act over 100 percent of the base area. The uplift considered in the original 1939 design was only 50 percent of these values.

Ice pressure of 10,000 pounds per linear foot of structure is applied in this analysis in accordance with EM 1110-2-2200. Ice pressure was considered to be 1,000 pounds per linear foot in the original design.

Wind pressure of 30 pounds per square foot is used in this stability investigation and in the original computations.

1.7 Discussion of Foundation and Foundation Parameters

All of the structural elements considered in this stability analysis, except for the service bridge abutment, are founded on rock. As described in the Definite Project Report - Knightville Dam, the bedrock formation underlying the dam site consists of quartzitic and mica schist.

The bedding is steeply inclined with angles of inclination varying between 60° west and 80° west. The strike of the bedding is approximately north-south.

Mechanical weathering, chiefly frost action, has affected the upper portions of the formation near the surface by opening small cracks along the bedding planes. In quartzitic schist varieties, these cracks become less prominent or entirely disappear within varying depths of from 5 to 15 feet. In all other respects, the rock is structurally sound.

All concrete structures analyzed are shown on the contract drawings to be founded upon solid rock. Excavation to sound rock was estimated to be approximately 4 feet deep in the spillway area. Sealing cracks and small fissures in the rock beneath retaining walls and the concrete was required during construction.

Allowable bearing pressures on the foundation materials described above are not given in the original design computations. An allowable bearing pressure of 35 tons per square foot on bedrock is assumed in this analysis.

The shear strength of the foundation materials is computed using the Mohr-Coulomb failure criteria as described in ETL 1110-2-256. Throughout this analysis, the critical potential failure surface for sliding stability is assumed to be a single plane at the interface of concrete structure and foundation material.

All of the structural elements analyzed, except for the spillway weir and the service bridge piers are subjected to lateral forces induced by earth backfill. Earth pressures acting on the concrete toewall and spillway retaining walls, which are founded on rock, are in accordance with EM 1110-2-2502.

Foundation parameters used for this analysis are as follows:

(a) Allowable bearing pressure on bedrock = 35 tons per square foot (assumed value).

(b) Shear at interface between rock and concrete = 75 pounds per square inch (based on ACI 318-71, composite concrete, allowable bond shear stress for clean and intentionally roughened contact surfaces without mechanical anchorages).

(c) Coefficient of frictional resistance = 0.7 (concrete on rock).

(d) Coefficient of active earth pressure = 0.27 (based on internal angle of friction = 35° and corrected, where necessary, to account for sloping backfills).

(e) Coefficient of at-rest earth pressure = 0.5 and corrected, where necessary, to account for sloping backfills.

1.8 Method of Computation

Stability of all structures was investigated by manual calculations.

PART II

RESULTS OF THE ANALYSIS

2.1 INTAKE TOWER

The intake tower is located at the upstream end of the tunnel directly above the transition section and is founded on solid rock. In plan, the tower measures approximately 35 feet by 46 feet at the top and has variable dimensions within its height, including diagonal counterforts extending up the tower at the four corners. The total height of the tower from the roof of the transition section to the floor of the operating house is 138 feet. The downstream face of the tower is cast against a rock cut for a height of approximately 67 feet, leaving a free height of the tower of approximately 71 feet.

The tower was analyzed for stability at three levels; Elevations 545, 526.5, and 477 (on rock). From the loading cases listed in EM 1110-2-2400, Section 3-07.c, entitled "Stability of Gate Structure at Upstream End", the applicable cases are as follows:

Case I. Reservoir empty. Wind load to produce most severe foundation pressures.

Case II. Gate structure with all gates open. Reservoir at spillway crest. Ice pressure. Uplift. Water surface inside structure drawn down to hydraulic gradient with all gates open.

Case III. Similar to Case II, except that gate structure operating with one outside gate closed, others open.

Case IV. Gate structure with gates closed. No flow in conduits. Reservoir at spillway crest. Ice pressure. Uplift. Structure full of water upstream from closed gates.

Case V. Reservoir raised to spillway design flood level for whichever of preceding Cases II, III, or IV is most critical. No ice pressure.

Case IA, IIA, IIIA, and IVA. Same as Case I, II, III, and IV, respectively, with earthquake load added.

At the upper levels, Elevations 545 and 526.5, the bending and shearing stresses in concrete are well within allowable limits.

Without seismic loading, the stability requirements for overturning are satisfied except for case II and IV. For these cases, with maximum ice pressure on one side, the resultant falls outside of the kern with 78 and 88 percent of the base remaining in bearing. Considering the tower

base embedment into rock below El.545.0 and the bearing of the diagonal counterforts against rock providing additional overturning resistance, the resultant will be within the kern.

With seismic loading, two cases find the resultant just within the base (with high theoretical base pressures and two cases find the resultant just outside of the base. However, the probability of an earthquake occurring when the reservoir is at spillway crest (Knightville dam is a dry bed reservoir) is very small. Considering the tower base embedment into rock and the bearing of the diagonal counterforts, the actual resultant will be well within the base with associated bearing pressures reduced.

The results of the stability analysis for the intake tower are contained in Table I. Under the specified loading cases, the intake tower is stable and no modification or strengthening is required.

TABLE I
STABILITY ANALYSIS OF INTAKE TOWER

Section (1)	Loading Case	LOCATION OF RESULTANT		Sliding Factor of Safety	Percent of Base in Bearing	Bearing Pressure On Rock KIPS/S.F.	
		In Middle Third	In Base			MAXIMUM	MINIMUM
EL. 545	I	YES	YES	135	100	14.5	9.4
EL. 545	I A	YES	YES	24	100	20.8	3.2
EL. 526.6	I	YES	YES	135	100	13.0	11.3
EL. 526.6	I A	NO	YES	20	73	33.0	0
EL. 477.0	II	NO	YES	43	78	21.1	0
EL. 477.0	II A	NO	YES	12	27	60.3	0
EL. 477.0 H	II A	NO	YES	10	4	464.0	0
EL. 477.0 <u>P</u>	II	YES	YES	55	100	15.0	1.4
EL. 477.0 <u>P</u>	II A	NO	YES	12	42	39.2	0
EL. 477.0 <u>P</u> <u>H</u>	II A	NO	YES	10	16	103.8	0
EL. 477.0	III	YES	YES	18	100	12.7	2.8
EL. 477.0	III A	NO	YES	8	56	27.8	0
EL. 477.0 H	III A	NO	YES	7	29	53.4	0
EL. 477.0 <u>P</u>	III	YES	YES	54	100	15.5	0
EL. 477.0 <u>P</u>	III A	NO	YES	12	20	77.4	0
EL. 477.0 <u>P</u> <u>H</u>	III A	NO	NO	10	0 (1.1ft outside)	--	--
EL. 477.0	IV	NO	YES	8	88	17.1	0
EL. 477.0 H	IV A	NO	NO	5	0 (1.2ft outside)	--	--
EL. 477.0	IV A	NO	YES	5	21	65.3	0
EL. 477.0	V/II	YES	YES	--	100	13.4	4.0
EL. 477.0	V/III	YES	YES	29	100	13.3	2.3
EL. 477.0	V/IV	YES	YES	10	100	8.2	7.4

(1) Unless noted "P", stability is analyzed in upstream-downstream direction. "P" indicates stability analysis perpendicular to direction of flow. "H" indicates hydrodynamic forces included in analysis.

2.2 SERVICE BRIDGE PIERS

Two intermediate piers of reinforced concrete, founded on rock, support the service bridge connecting the intake tower with the dam. The three-span service bridge, whose longitudinal superstructure members consist of two steel plate girders, has a total length of 210 feet. The design loading is AASHTO H-15.

Loading cases considered are those specified below.

Case I. Dead Load reaction of bridge. No water. Wind.

Case IA. Dead Load reaction of bridge. No water. Earthquake.

Case II. Dead Load reaction of bridge. Water level at Spillway EL. 610.00 with uplift.

Case IIA. Dead Load reaction of bridge. Water level at Spillway EL. 610.00 with uplift. Earthquake

Case III. Dead load reaction of bridge. Water at maximum discharge EL. 629.3

The free standing Pier No. 1 was analyzed. Pier No. 2, built integrally with a retaining wall, is more stable and therefore, did not require a separate analysis.

Stability was checked at Elevation 558, which is the average depth of concrete foundation embedded in a sloping rock surface, as shown on contract drawings. The top of pier is approximately 65 feet above this reference line. In calculating dead load reaction for the maximum discharge condition (EL. 629.3) the bridge deck was assumed to be fully submerged as the roadway elevation is only a little more than one foot higher than the probable maximum flood. Factor of safety against uplift during flood is 2.2.

Wind loading of 30 psf was applied at 30^0 to the longitudinal axis of the bridge to give the maximum lateral load to be resisted by the minimum pier cross section. Ice forces, acting all around the pier, would not affect the stability of the pier.

The minimum factor of safety against sliding based only on frictional resistance is 24, greater than the required factor of safety of 1.5.

For Pier 1, the resultant is within the kern of the base for Loading Cases I, II, and III. For Loading Case IIA with uplift on the pier and earthquake forces, the resultant falls outside of the base. To prevent overturning of the pier a horizontal reaction at the bridge deck through bearings on the pier is necessary. The reaction computed is relatively small, only 840 pounds. This force would have to be shared by two

expansion and two fixed bearings with eight 1-1/4" Ø anchor bolts, and transmitted to the entire bridge structure through the deck. It is unlikely that any horizontal movement of the top of the pier would occur and it would be limited to a 2-inch gap in the expansion dam. Therefore, no remedial measures are needed to improve the stability of the service bridge piers. Table II contains the results of the analysis.

TABLE II
STABILITY ANALYSIS OF SERVICE BRIDGE PIERS

<u>Loading Case</u>	<u>LOCATION OF RESULTANT</u>		<u>Sliding Factor of Safety</u>	<u>Percent of Base in Bearing</u>	<u>Bearing Pressure on ROCK KIPS/S.F.</u>	
	<u>In Middle Third</u>	<u>In Base</u>			<u>MAXIMUM</u>	<u>MINIMUM</u>
I	YES	YES	111	100	9.0	3.1
IA	NO	YES	24	41	15.9	0
II	YES	YES	-- (1)	100	4.7	4.7
IIA	NO	NO	24	0	-	-
III	YES	YES	-- (1)	100	3.5	3.5

(1) Summation of horizontal forces is equal to zero, and therefore, factor of safety against sliding is undefined.

2.3 Spillway.

The ogee-shaped concrete spillway is approximately 400 feet long at the crest. The structure is divided into fourteen concrete monoliths, typically 30 feet long and separated by expansion joints with copper waterstops. The central part consists of eight monoliths, varying in height from approximately 40 to 70 feet. The spillway crest is at Elevation 610. The toes of these monoliths are embedded in rock to a depth of at least 6 feet along the downstream side.

The three monoliths at the east end of the spillway were built to the initial crest elevation of 600 and later raised to the final elevation of 610. The total height is about 35 feet, the embedment of toe in rock is a minimum of 4 feet. The horizontal construction joint at Elevation 600 is reinforced with vertical steel dowels along the upstream face and with inclined dowels on the downstream side. The last monolith at the east end of the spillway is anchored into the retaining wall by means of horizontal steel dowels.

The four small monoliths at the west end of the spillway were initially built to Elevation 600 and then raised to Elevation 610. These monoliths are only 16 feet high, with embedment of toe in rock to a minimum of 3 feet. There are five rows of steel anchors drilled into the rock abutment and dowels at both faces in the horizontal construction joint at Elevation 600 (see sheet No. 26 Appendix A). Contract drawings do not indicate horizontal dowels into rock at the first monolith.

The width of the spillway approximately equals its height. As the monoliths are not interconnected by shear keys, each of them has to be stable by itself under any loading condition. Four typical monoliths were analyzed.

Loading cases applied are in accordance with EM 1110-2-2200, Section 3-01. Applicable were Case II - normal operating, IV - flood discharge, and VI - normal operating with earthquake.

The hydrologic data used for this spillway are the following:

Loading Cases II and VI - Full Pool Condition (pool at spillway crest, minimum tail water):

Energy gradient at spillway (ft. msl)	610.00
Tail-water energy gradient	463.0

Loading Case IV - Design Discharge Condition (reservoir at peak level of probable maximum flood):

Energy gradient at spillway (ft. msl)	627.3 (gates open) ¹
Tail-water energy gradient (ft. msl)	510.0
Tail-water water surface (ft. msl)	507.0

The values for the factors of safety against sliding, bearing pressures and location of resultant for each monolith analyzed are shown in Table III. For Sections A/24, B/24, and E/26, and the fourth monolith from the east end were investigated (Sections refer to contract drawings). Deviations from required criteria, when only a few percent, were considered insignificant.

Under Loading Case II, with ice forces, the resultant was found to be within the middle third of the base. Under Load Case VI, the resultant is always within the base. Spillway Section E/26 above the construction joint at Elevation 600 was analyzed for Loading Cases IV and VI and was found to be stable.

The overturning stability criteria for Loading Case IV is not satisfied for Section B/24, the fourth monolith from the east end, and Section E/26 (respective percentages of base is bearing are 84, 62, 47). No remedial work is suggested for the following reasons:

1. The resulting does occur substantially within the base and is stable against overturning, although the criteria per se is not met.
2. The probability of full discharge is small.
3. As the spillway is cut from existing rock, there is little possibility of undermining due to erosion.

The minimum factor of safety against sliding was found to 3.8; the maximum bearing was found to 9.70 KIPS/S.F. Both meet current criteria.

¹See pg. 2

TABLE III

STABILITY ANALYSIS OF SPILLWAY

Section	Loading Case	LOCATION OF RESULTANT		Percent Base In Bearing	Resistance to Sliding Factor of Safety	Bearing Pressure on Rock	
		In Middle Third	In Base			Maximum KIPS/S.F.	Minimum
Central B/24	II	Yes	Yes	100	4.9	7.15	0.97
	IV	No	Yes	84 (3.8' outside)	3.8	8.81	0
	VI	No	Yes	97	3.9	8.41	0
West End A/24	II	Yes	Yes	100	19.9	1.19	.55
	IV	Yes	Yes	100	14.8	1.36	0.18
	VI	Yes	Yes	100	30.7	.98	.76
East End E/26	II	No	Yes	94	7.8	5.6	0
	IV	No	Yes	47 (7.2' outside)	5.5	9.7	0
	VI	No	Yes	98	7.2	5.3	0
SECTION ABOVE C.J. AT EL 600.0							
	IV	No	Yes	99	16.5	1.20	0
	VI	Yes	Yes	100	43.8	.85	.63
East End Fourth Monolith at Concrete Base EL. 559	II	Yes	Yes	100	6.0	5.97	2.83
	IV	No	Yes	62 (7.3' outside)	3.9	8.52	0
	VI	Yes	Yes	100	4.9	6.28	0
East End Fourth Monolith at 10 Feet Below Concrete Base in Rock Elevation 549							
	II	Yes	Yes	100	10.0	5.92	1.58
	IV	Yes	Yes	100	4.5	3.77	3.47
	VI	Yes	Yes	100	7.3	5.21	2.29

2.4 Spillway Retaining Walls.

There are two retaining walls near the dam: one separates the earth-fill embankment from the spillway weir, and the other protects the downstream toe of the dam at the river channel from erosion at the outlet. Both walls are concrete gravity sections. The latter will be discussed in the next section, Concrete Toe Wall.

The retaining wall starts at one pier of the service bridge, includes the bridge abutment, connects with the east end of the spillway and extends downstream about 150 feet from spillway. The maximum height of this wall is about 55 feet, with a corresponding width of 40 feet, and the minimum height is 10 feet at the south end. The full length of the wall is founded on rock with embedment 2 to 3 feet deep.

In accordance with EM 1110-2-2502, the retaining wall was analyzed for at rest and active earth pressures, with no fill or water in front of the wall, with the following exception:

- (a) Upstream wall, during flood, with water on all sides of the wall.

Uplift pressures assumed are 100 percent of hydrostatic head at the heel and zero at the toe.

Loading cases considered were as follows:

- Case I - Normal water level (maximum Elevation 610).
- Case IA - Normal water level plus earthquake.
- Case II - Floodwater level, Elevation 629.3.
- Case III - Water level on both sides up to Elevation 610.
- Case IIIA - Water level on both sides plus earthquake.

The latter two cases, III and IIIa, are applicable to walls on the upstream side of the spillway.

The tabulated values of factors of safety and bearing pressures for each wall section analyzed are shown in Table IV. With earthquake forces, the vertical resultant may be located outside of the middle third of the base. For such cases, the percentages of the width of base which will be in bearing are calculated and none of the bearing pressures is excessive. All wall sections have adequate stability under all loading cases considered.

TABLE IV

STABILITY ANALYSIS OF SPILLWAY RETAINING WALLS

Section	Loading Case	LOCATION OF RESULTANT		Sliding Factor of Safety	Percent Base in Bearing	Bearing Pressure on Rock KIPS/S.F.	
		In Middle Third	In Base			Maximum	Minimum
D-25 (60 Feet High)	I	Yes	Yes	6.1	100	10.3	0.7
	II	Yes	Yes	12.4	100	6.0	1.6
	I-A	No	Yes	4.0	53	20.7	0
C-25 (60 Feet High)	I	Yes	Yes	6.2	100	9.1	0.5
	II	Yes	Yes	10.5	100	5.3	1.4
	III	Yes	Yes	8.4	100	6.3	0.6
	I-A	No	Yes	4.4	61	15.7	0
	III-A	No	Yes	5.3	60	11.4	0
F-25 High (45 Feet High)	I	Yes	Yes	6.8	100	7.2	0.8
	I-A	No	Yes	4.8	63	12.8	0
F-25 Low (31 Feet High)	I	Yes	Yes	9.8	100	5.2	0.4
	I-A	No	Yes	6.7	58	9.6	0

2.5 Concrete Toe Wall

This retaining wall of concrete gravity section protects the downstream toe of the dam at the river crossing from erosion by the outlet flow. It was designed for hydrostatic head and lateral rock pressure. Having a total length of 232 feet, this wall varies in height from a maximum of 76 feet to a minimum of 5 feet. The wall consists of five different monoliths separated by expansion joints. The top elevation starts at Elevation 547.5 feet at the west end and slopes down to Elevation 500.6 feet at the other end. The contract drawings show that the base of the toe wall is built on sound rock excavated several feet below the original rock line. In plan, this wall follows a circle with a radius of 156 feet.

The analysis of stability was done for three different monoliths. The sections were analyzed as gravity walls for the following loading cases:

- Case I-1 - Full pool, water at the rear of toe wall at Elevation 503 feet.
- Case I-2 - Maximum flood, water at both sides of toe wall at Elevation 507 feet.
- Case II-1A - Loading consists of Case I-1, as outlined above, plus earthquake forces.

According to EM 1110-2-2502, Sec. 4.e., a vertical resultant location outside the middle third is acceptable when at-rest lateral earth pressures are used. Accordingly, the use of middle third criteria for gravity walls on rock analyzed for active earth pressure produces an adequate factor of safety for at rest pressure. Therefore, this stability analysis was done using "active" pressure produced by the rock backfill ($\phi = 45^\circ$, $K_a = 0.19$). To allow for the effect of the backfill sloping upward, the horizontal force was applied at 0.45 times the height. The acceptable location of the resultant is within the middle third, except for earthquake loadings where the resultant must fall within the base.

The tabulated values of factors of safety and bearing pressures for each monolith analyzed are shown in Table V. None of these pressures is excessive and factors of safety calculated are greater than the minimum required. Therefore, all wall sections can be considered to be stable under all loading condition.

TABLE V

STABILITY ANALYSIS OF CONCRETE TOE WALL

Section	Loading Case	LOCATION OF RESULTANT		Sliding Factor of Safety	Length of Base in Bearing (ft)	Bearing Pressure on Rock KIPS/S.F.	
		In Middle Third	In Base			Maximum	Minimum
Top Elevation 539 (76 Feet High)	I-1	Yes	Yes	4.9	100	14.4	0.9
	I-2	Yes	Yes	4.3	100	9.8	3.7
	II-1A	No	Yes	4.0	67	23.0	0
Top Elevation 528 (65 Feet High)	I-1	Yes	Yes	6.1	100	10.7	2.5
	I-2	Yes	Yes	10.4	100	7.1	3.8
	II-1A	No	Yes	4.2	78	17.1	0
Top Elevation (28 Feet High)	I-1	Yes	Yes	12.0	100	5.8	0.4
	I-2	Yes	Yes	22.9	100	3.4	1.4
	II-1A	No	Yes	8.1	70	8.8	0

2.6 CONCLUSIONS

All of the Knightville Dam concrete structures analyzed meet the prescribed requirements, except the spillway monoliths at maximum flood discharge condition. In this loading case the overturning stability criteria is not satisfied for section B/24, the fourth monolith from the east end, and section E/26 (Respective Base percentages in bearing are 84, 62, 47). No remedial work is suggested for the following reasons:

1. The resulting does occur substantially within the base and is stable against overturning, although the criteria per se. is not met.
2. The probability of full discharge is small.
3. As the spillway is cut from existing rock, there is little possibility of undermining due to erosion.

In order to strictly satisfy current overturning criteria for the spillway weir, the location of the resultant for the maximum flood discharge condition would have to be improved. This could be accomplished by installing a system of post-tensioned rock anchors. The construction cost for this modification is estimated to be \$800,000.

APPENDIX A

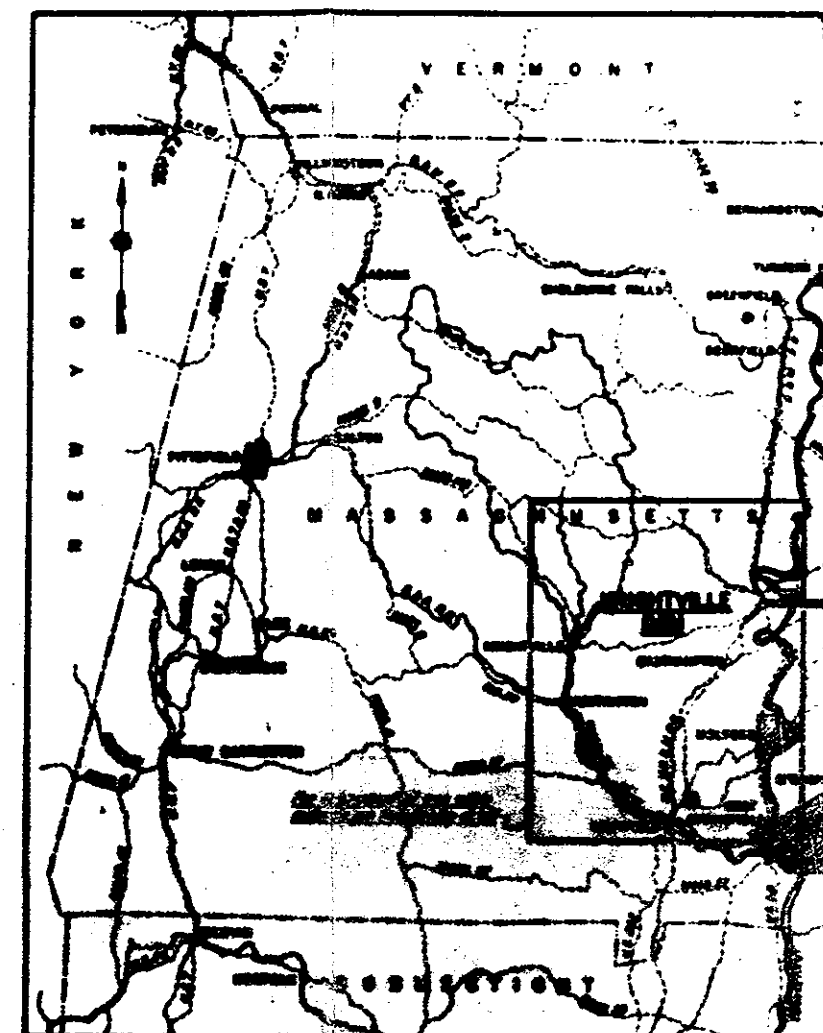
SELECTED RECORD DRAWINGS

<u>Drawing No.</u>		<u>Title</u>
CT-1-	Sh. No. 1	Project Location & Index
CT-1-	Sh. No. 6	General Plan
CT-1-1234	Sh. No. 11	Outlet Works
CT-1-1247	Sh. No. 12	Assembly
CT-1-1265	Sh. No. 13	Intake Transition No. 1
CT-1-	Sh. No. 17	Intake Tower Sections No. 1
CT-1-1280	Sh. No. 20	Intake Tower Sections No. 4
CT-1-1232	Sh. No. 28	Bridge Piers
CT-1-1261	Sh. No. 24	Spillway - Detail Plan & Sectionsq
CT-1-1284	Sh. No. 25	Spillway - Retainging Wall No. 1
CT-1-1315	Sh. No. 26	Spillway - Retaining Wall No. 2
CT-1-1278	Sh. No. 27	Spillway - Retaining Wall No. 3
CT-1-1235	Sh. No. 29	Concrete Toe Wall



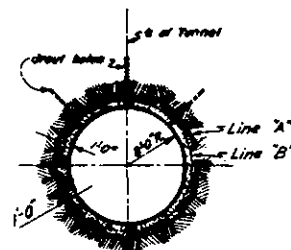
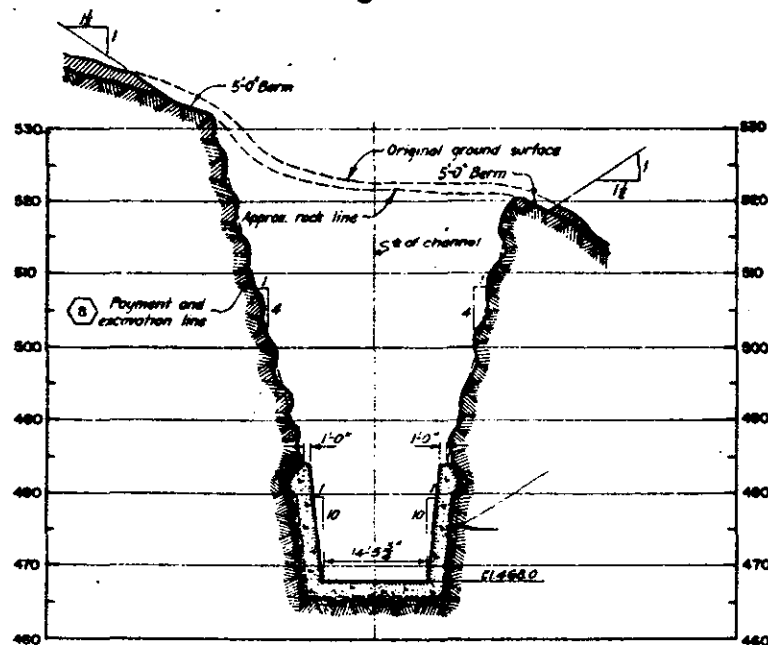
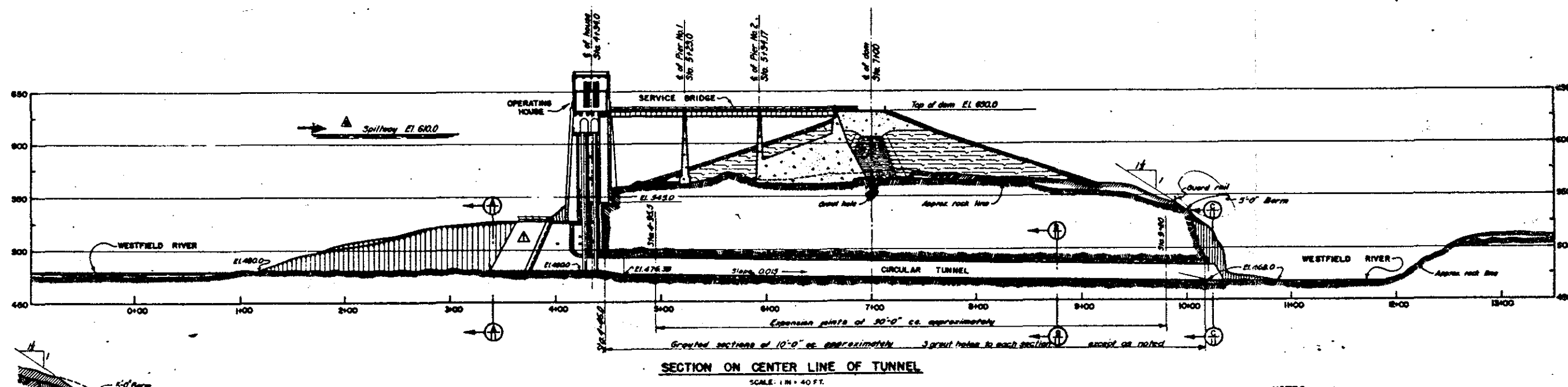
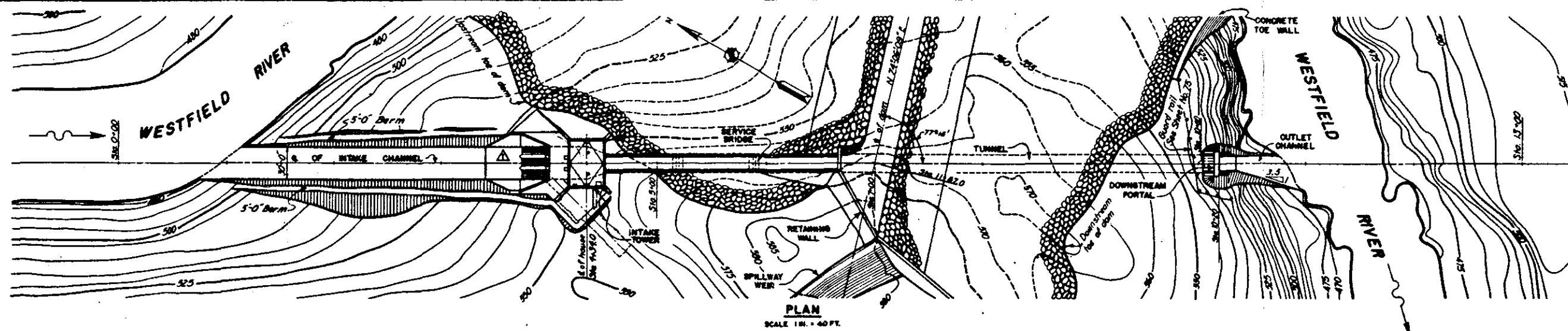
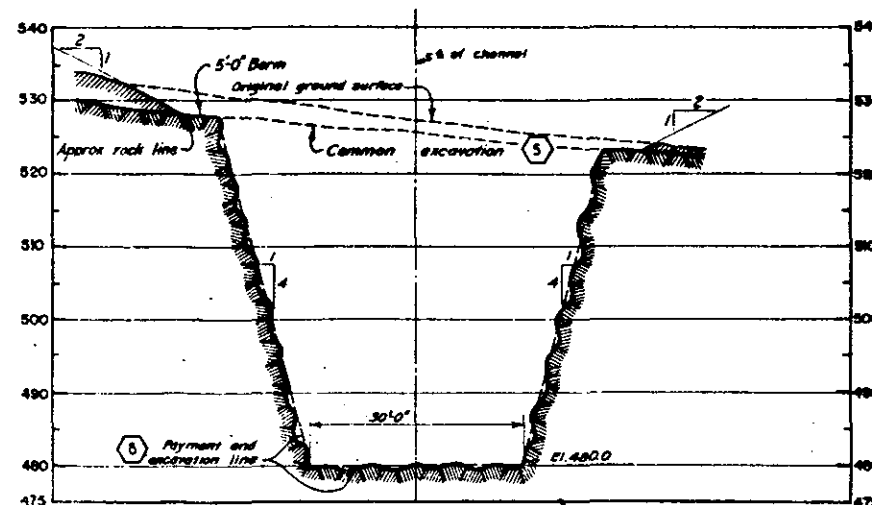
THE

- | NO. | TITLE |
|-----|---|
| 1 | PROJECT LOCATION AND INDEX |
| 2 | INTRODUCTION |
| 3 | PLAN OF SUBSURFACE EXPLORATION |
| 4 | SECTION OF SUBSURFACE EXPLORATION NO. 1 |
| 5 | SECTION OF SUBSURFACE EXPLORATION NO. 2 |
| 6 | GENERAL PLAN |
| 7 | SECTION DETAIL NO. 1 |
| 8 | SECTION DETAIL NO. 2 |
| 9 | SECTION DETAIL NO. 3 |
| 10 | SECTION DETAIL NO. 4 |
| 11 | SECTION DETAIL NO. 5 |
| 12 | SECTION DETAIL NO. 6 |
| 13 | SECTION DETAIL NO. 7 |
| 14 | SECTION DETAIL NO. 8 |
| 15 | SECTION DETAIL NO. 9 |
| 16 | SECTION DETAIL NO. 10 |
| 17 | SECTION DETAIL NO. 11 |
| 18 | SECTION DETAIL NO. 12 |
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| 24 | SECTION DETAIL NO. 18 |
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| 27 | SECTION DETAIL NO. 21 |
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| 155 | SECTION DETAIL NO. 149 |
| 156 | SECTION DETAIL NO. 150 |
| 157 | SECTION DETAIL NO. 151 |
| 158 | SECTION DETAIL NO. 152 |
| 159 | SECTION DETAIL NO. 15 |



LOCATION MAP
SCALE
LEGEND
- - - - -
- - - - -
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CONNECTICUT RIVER
KNIGHTVILLE DAM
PROJECT LOCATION MAP
WESTFIELD RIVER
8000 SQUARE FEET
N. E. ENGINEER OFFICE
1968-1972

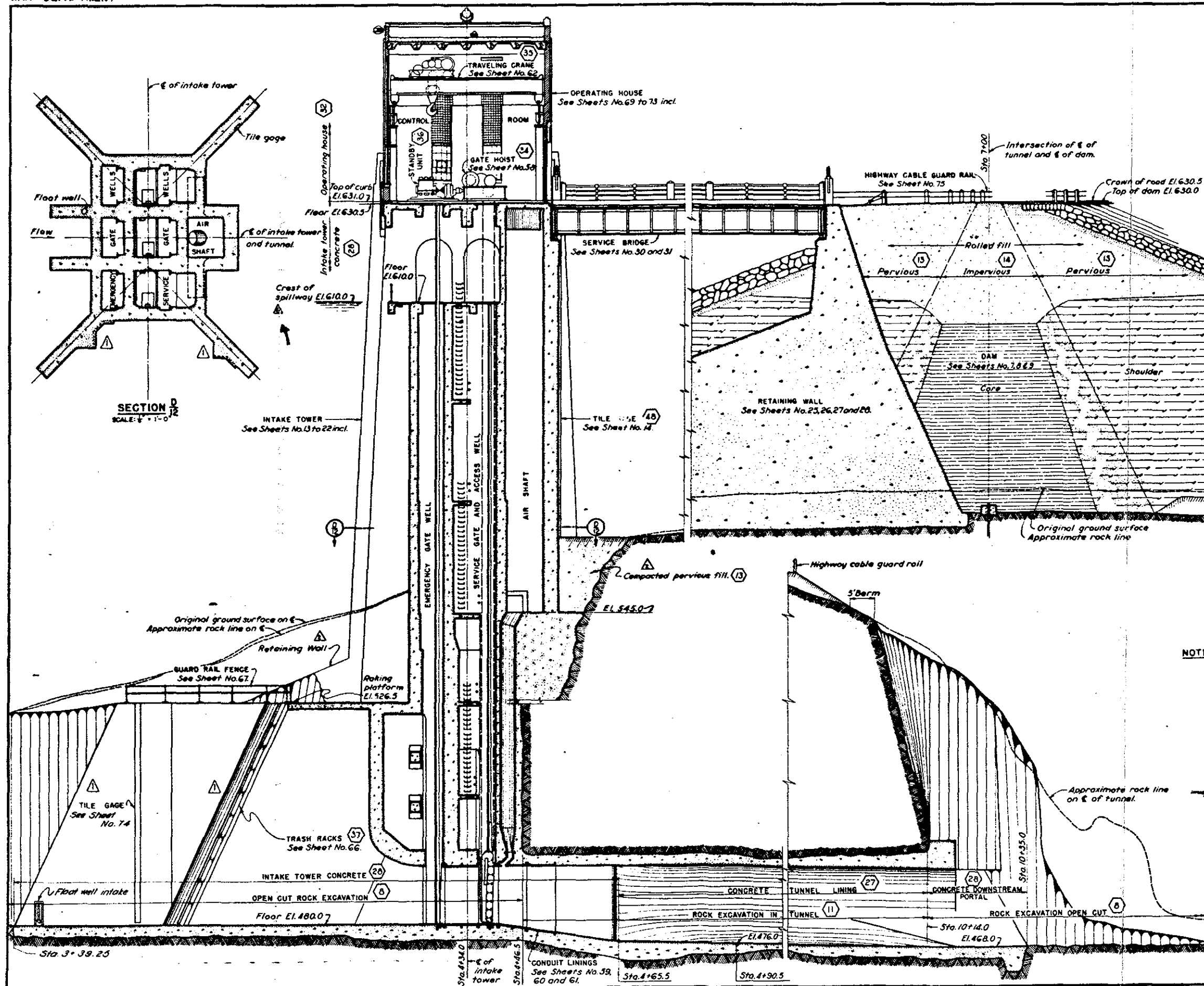
SECTION B
SCALE 1 IN. = 10 FT.SECTION A
SCALE 1 IN. = 10 FT.

NOTES

Elevations refer to Mean Sea Level Datum.
The location of the downstream portal is subject to change.
All expansion and field joints in the tunnel shall have upper water stops.
Contour interval - 5 feet.
Figures in parentheses indicate dam numbers under which payment will be made.

THIS PLAN ACCOMPANIES
CHANGE ORDER NO. 8 CONTRACT NO. W 689 ENG. 848

CONNECTICUT RIVER FLOOD CONTROL			
KNIGHTVILLE DAM			
OUTLET WORKS			
WESTFIELD RIVER,		MASSACHUSETTS	
IN 82 SHEETS		SCALE 1 IN. = 40 FT. SHEET NO. 11	
U.S. ENGINEER OFFICE, PROVIDENCE, R.I. JAN. 1939			
SUBMITTED:		APPROVED:	
DESIGNED BY: J. S. M.		CHECKED BY: J. S. M.	
DRAWN BY: J. S. M.		FILE NO. CT-1-1234	
ASSOC. ENGINEER		ENGINEER	



NOTES
Elevations refer to Mean Sea Level Datum.
Figures in hexagons indicate item numbers.
under which payment will be made.

THIS PLAN ACCOMPANIES
CHANGE ORDER NO. 8

CONTRACT NO
W 889 ENG 848

1-13-41	Spillway elevation raised	Wm	W	W
3/21/40	Retaining wall, rock cut added.	Wm	W	W
10/27/39	Piers and walls extended	Wm	W	W
KEY	DATE	REVISION	INDICATED BY A 1	REV BY CH BY AP

REV.	DATE	REVISION (Indicated by Δ)	BY	CHKD.	APP'D.
1		CONNECTICUT RIVER FLOOD CONTR			

KNIGHTVILLE DAM
ASSEMBLY

WESTFIELD RIVER MASSACHUSETTS

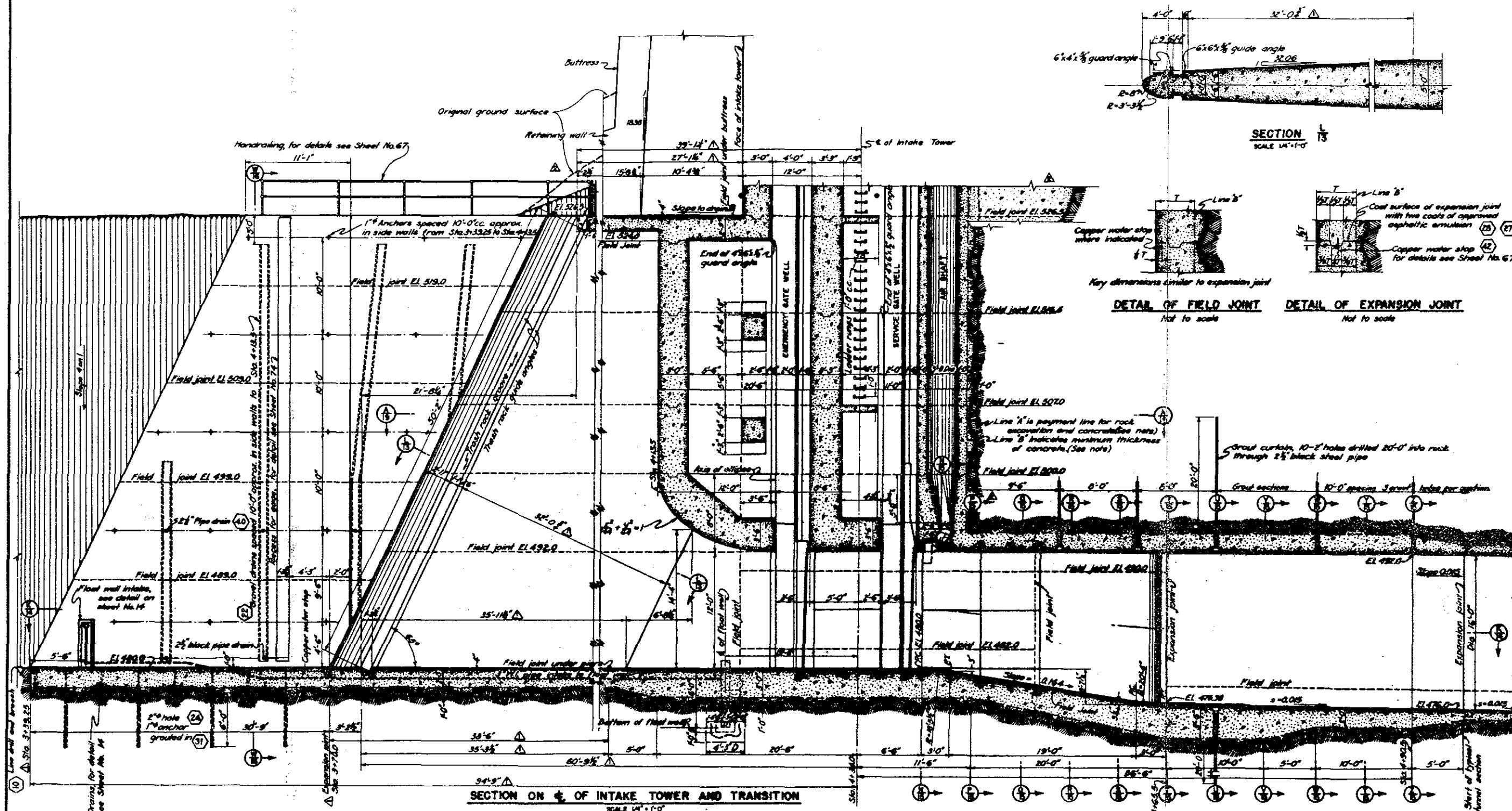
IN 82 SHEETS SCALE: 1"=1 FT. SHEET NO.

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
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U.S. ENGINEER OFFICE, PROVIDENCE, R.I. JAN. 1

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SECTION ON E OF INTAKE TOWER AND TRANSITION

SCALE 1/4" = 1'-0"

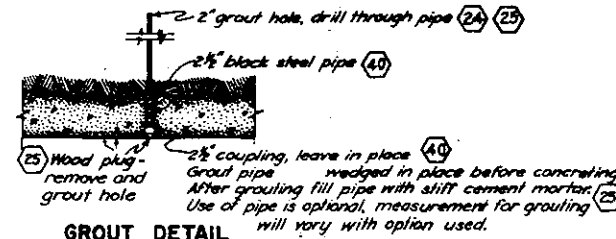
NOTES

All concrete in intake tower and transition between Stations 3+39.25 and 4+65.5 shall be Class A and paid for under Item No. 20.
 Concrete in tunnel between Stations 4+65.5 and 10+14.0 shall be Class A and paid for under Item No. 27.
 Copper water stops shall be used in all joints downstream from Sta. 4+13.5 and below EL 526.5 as indicated.
 All outside corners of concrete shall be chamfered as directed by the contracting officer.
 For details of miscellaneous metals see Sheets No. 65 to 67 inclusive.
 For steel reinforcement see Sheets No. 33 to 39 inclusive and sheet No. 43.
 Size of gate and grout recesses are tentative and definitely determined when the gates are detailed.
 Figures in hexagons indicate item number under which payment made.
 Rock overbreak paid for under Item No. 58.
 Rock overbreak beyond the "A" line shall be backfilled with Class A concrete up to

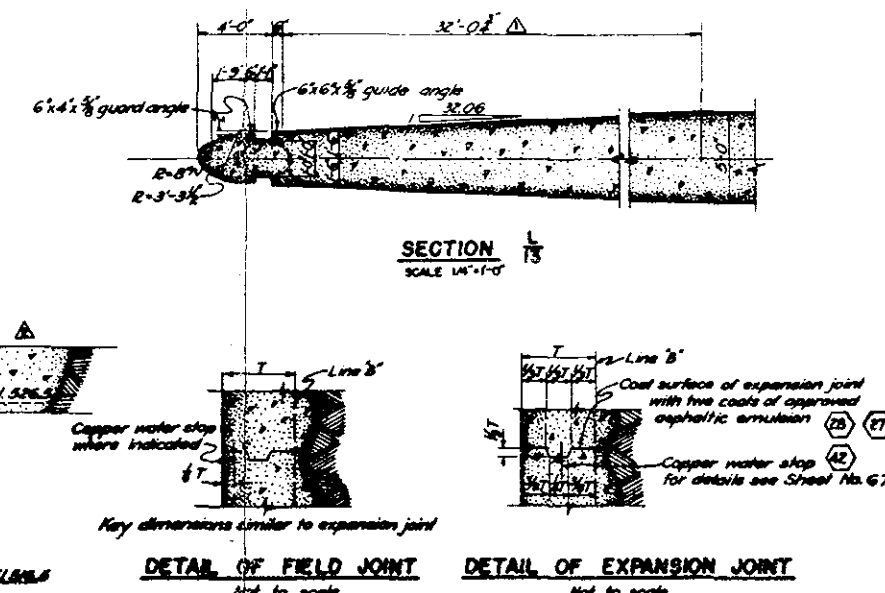
NOTES (Continued)

indicated elevations. Payment for the excess Class A concrete made under Item No. 59.
 Rock surfaces as of December 1, 1938, after initial excavation, are not shown throughout on all sections. They are indicated only in places where there are changed conditions in the concrete construction.

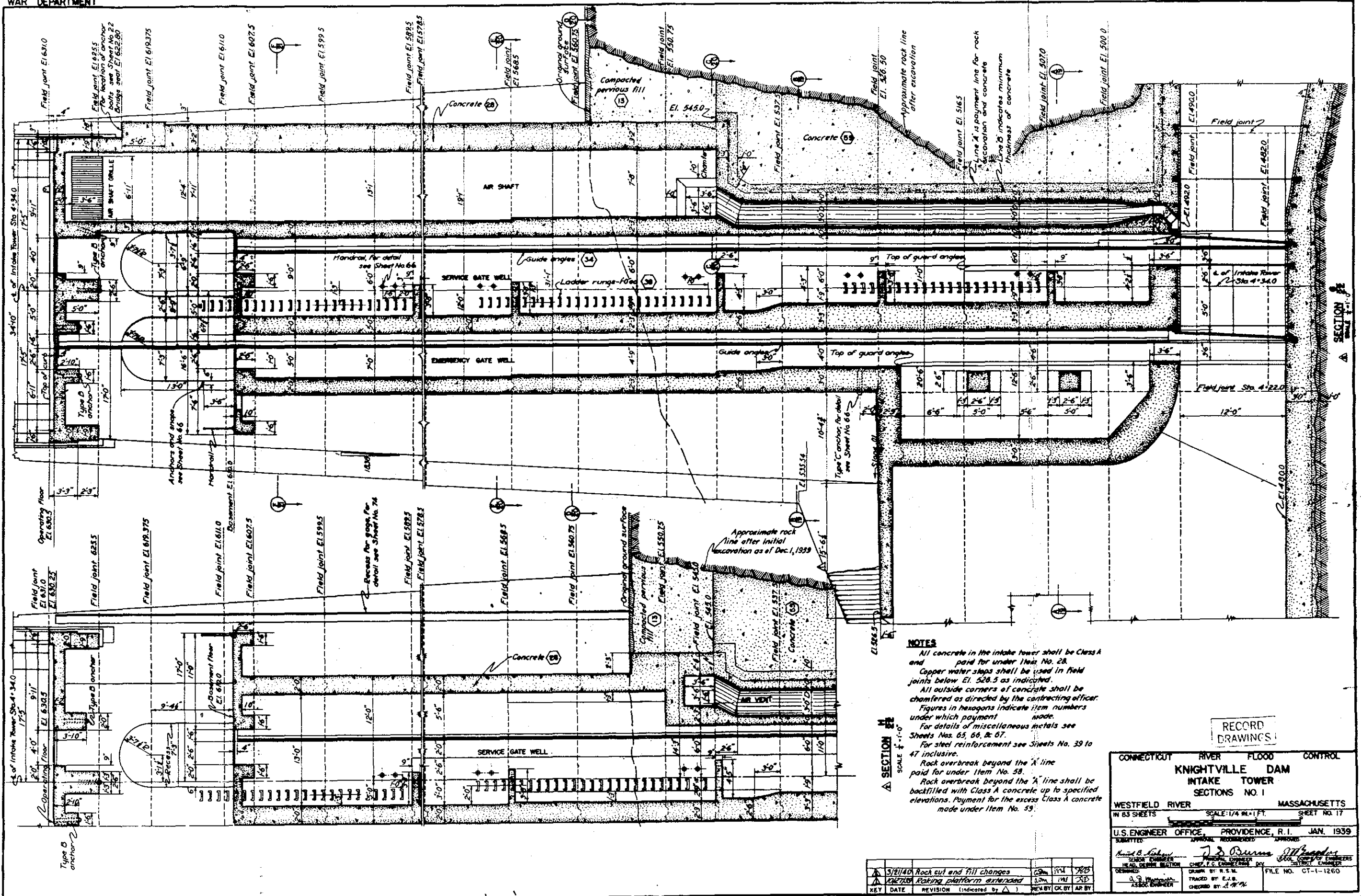
NO.	DATE	REVISION (Indicated by Δ)	BY	CHECKED BY
318144		Retaining wall rock cut added	adm	PAI
318159		Piers and walls extended	adm	PAI

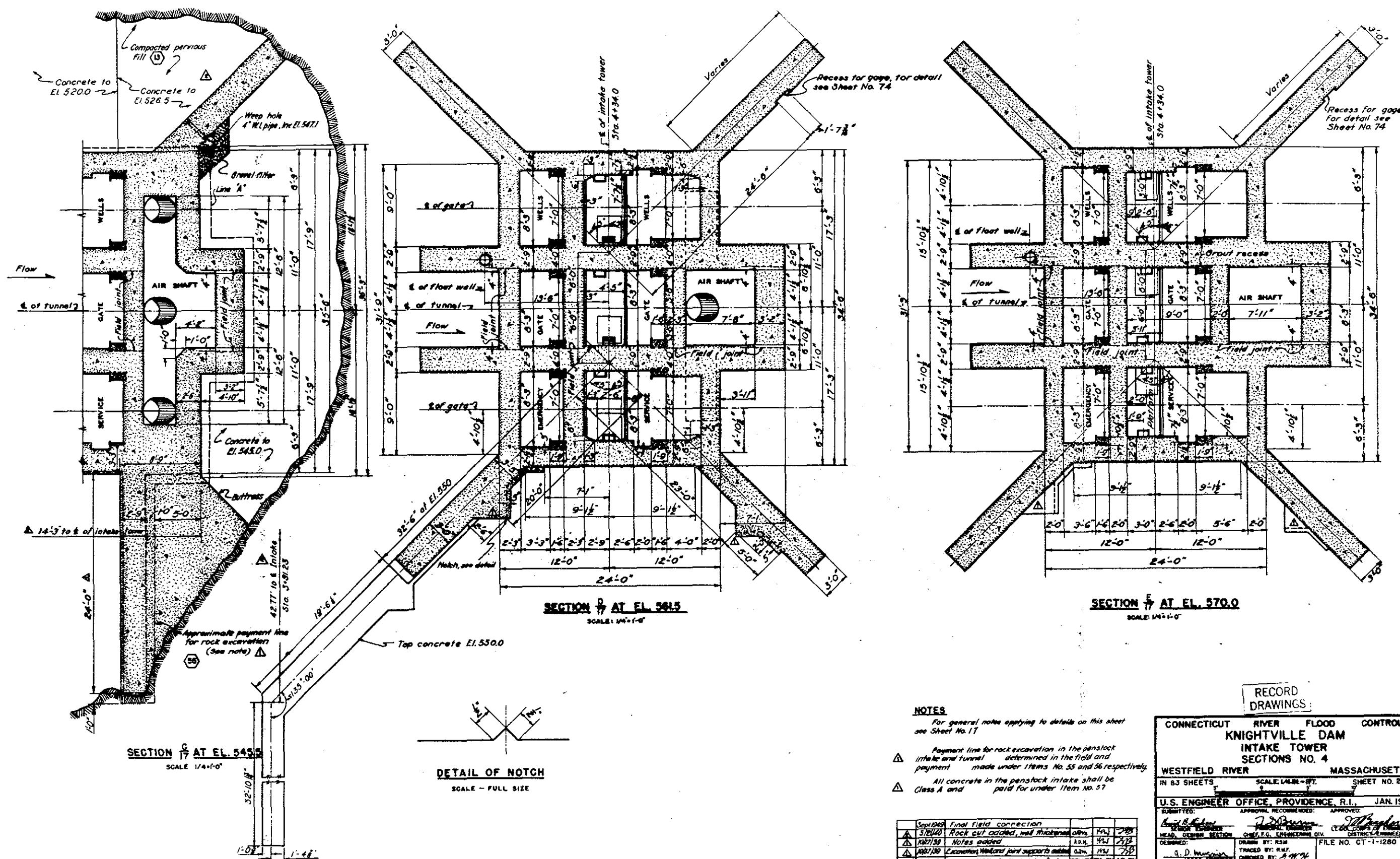


GROUT DETAIL

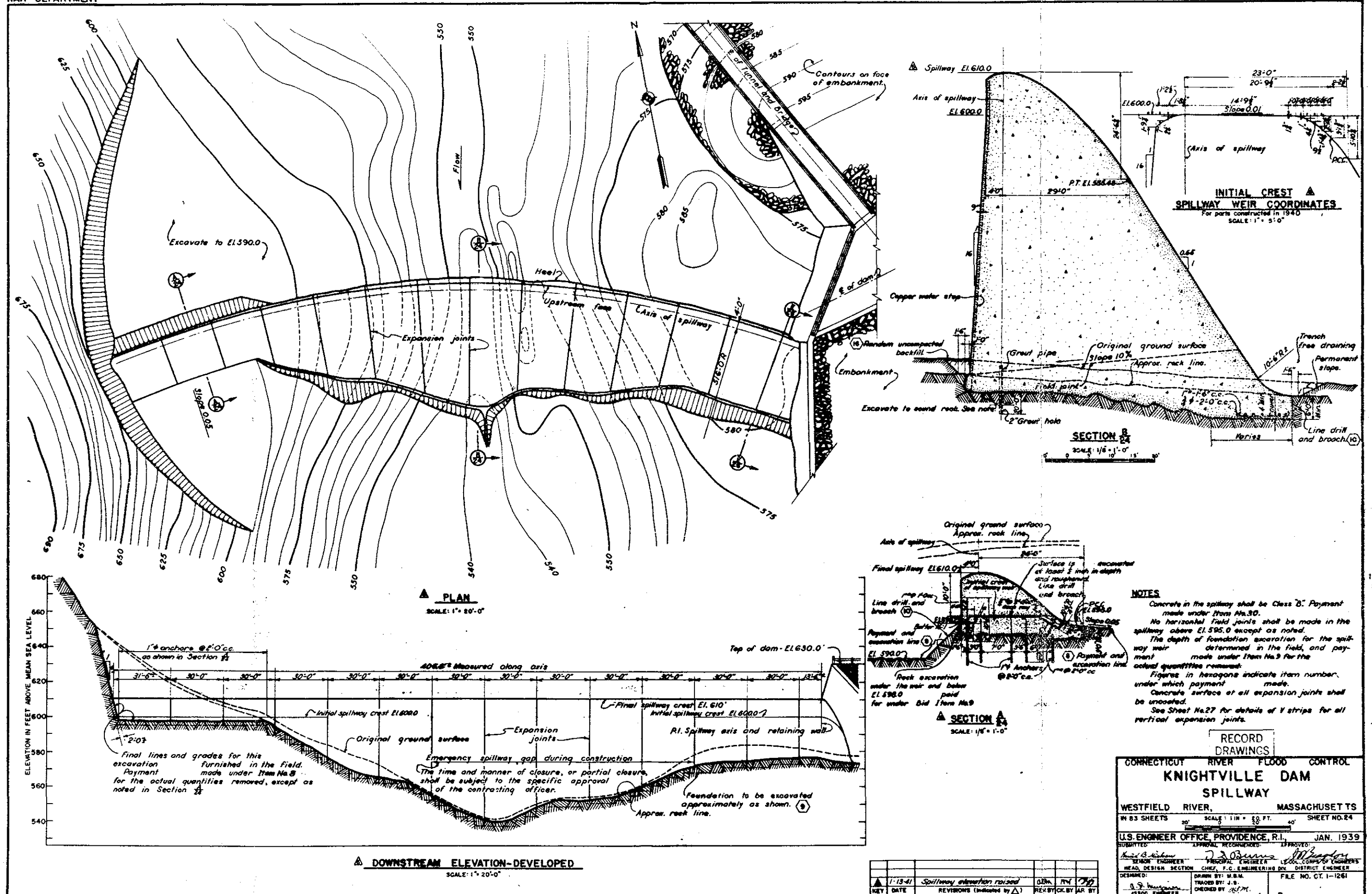
SECTION 1/3
SCALE 1/4" = 1'-0"DETAIL OF FIELD JOINT
Not to scaleDETAIL OF EXPANSION JOINT
Not to scale

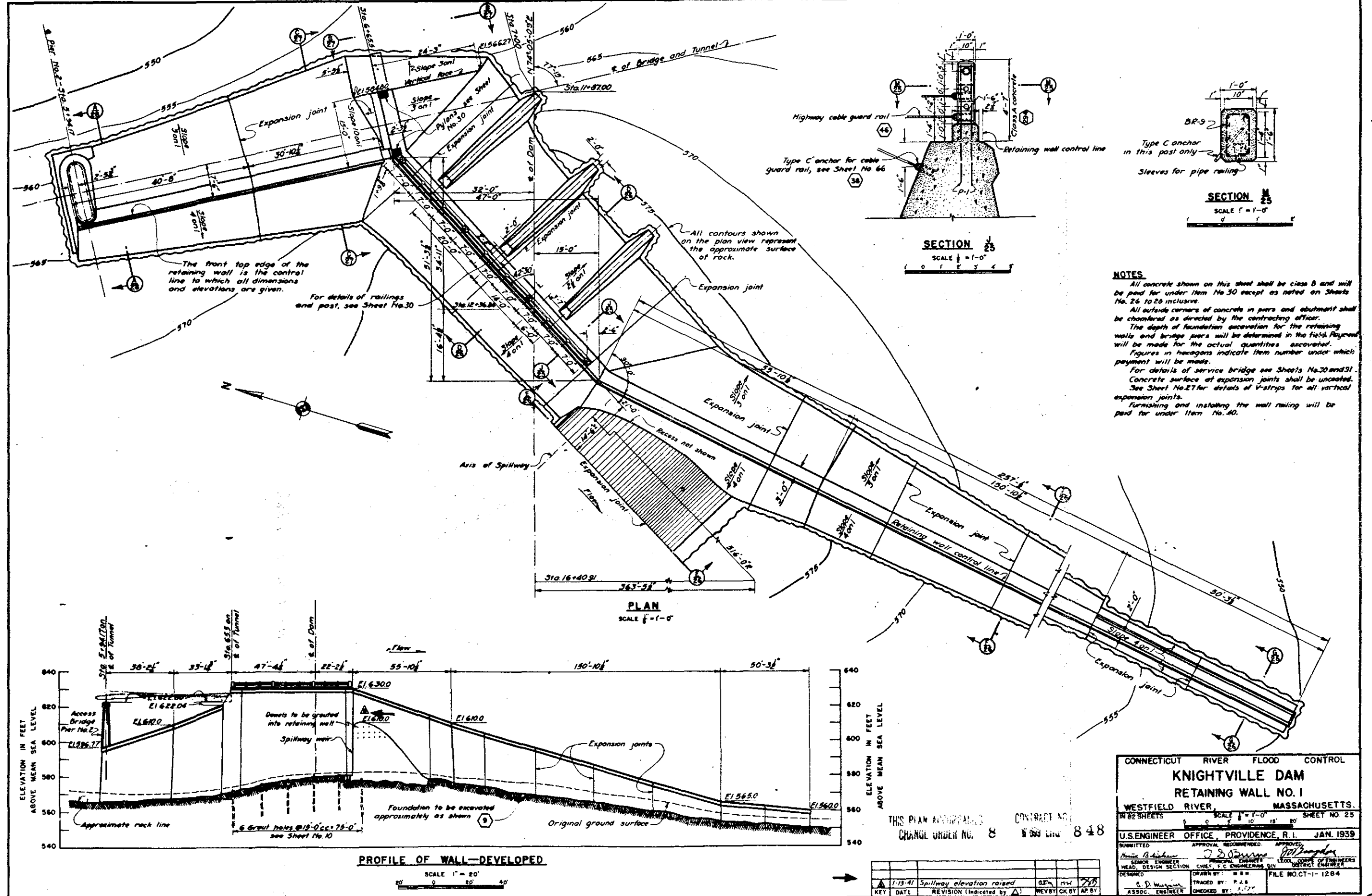
CONNECTICUT RIVER FLOOD CONTROL	
KNIGHTVILLE DAM	
INTAKE TRANSITION NO. 1	
WESTFIELD RIVER,	MASSACHUSETTS
IN 83 SHEETS	SHEET NO. 15
U.S. ENGINEER OFFICE, PROVIDENCE, R.I. JAN. 1939	
SUBMITTED:	APPROVED:
DESIGNED BY:	CHECKED BY:
FILE NO. GT-1-1265	

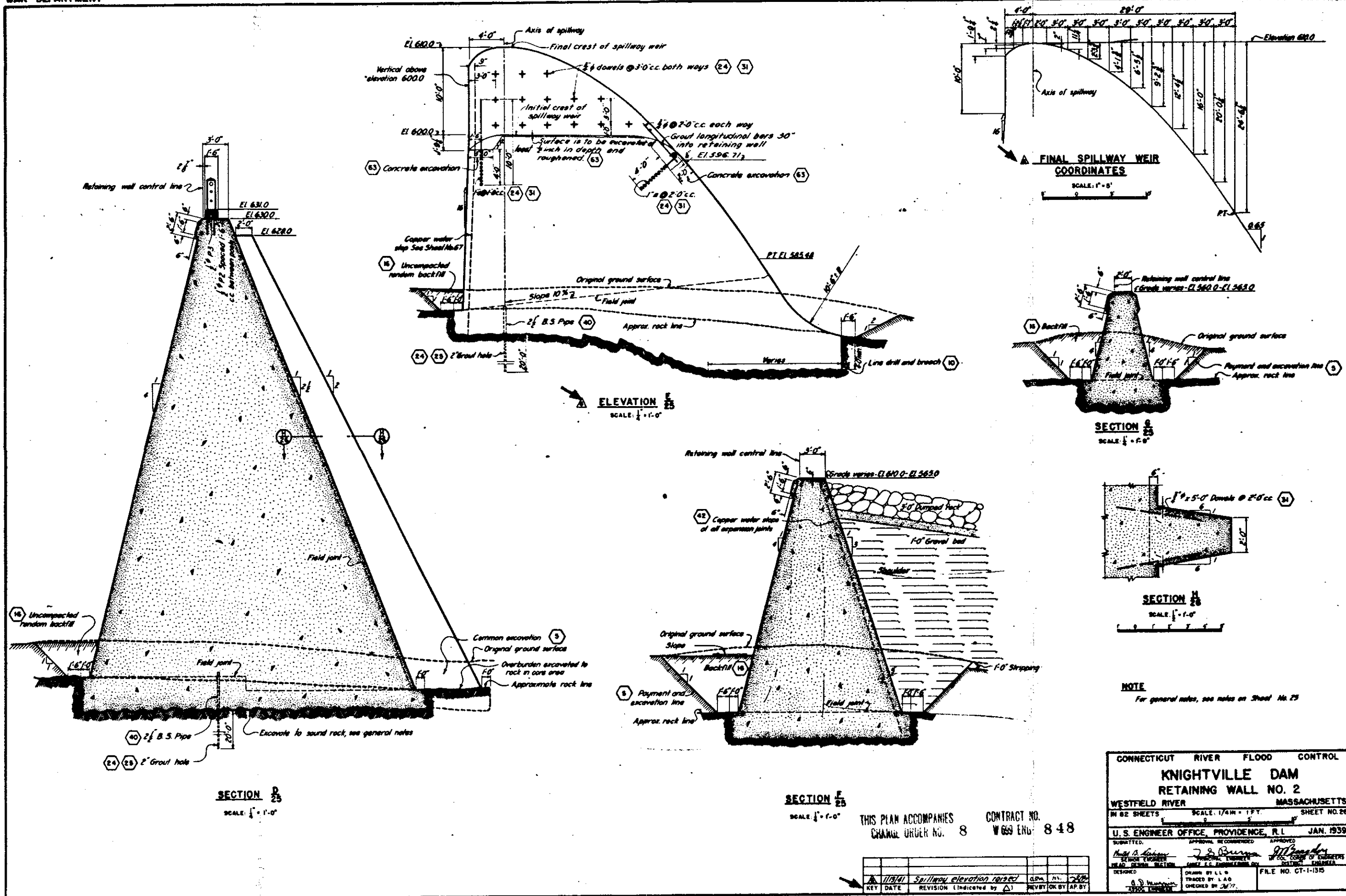


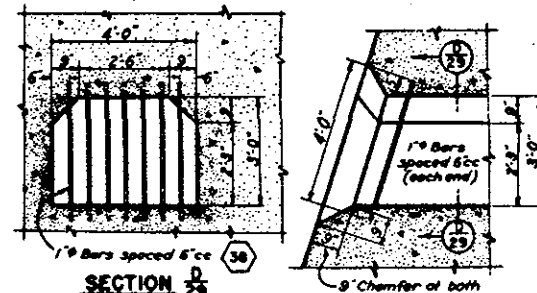
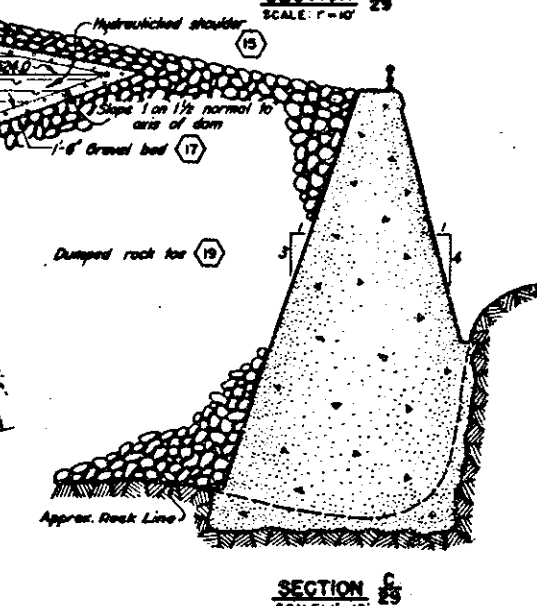
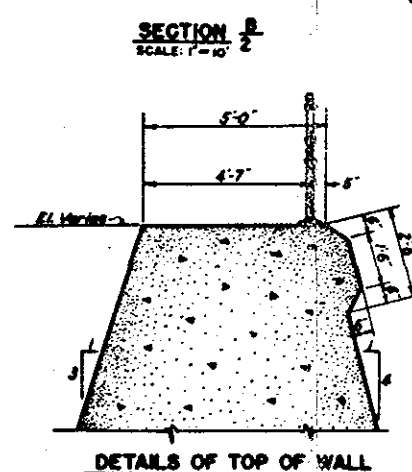
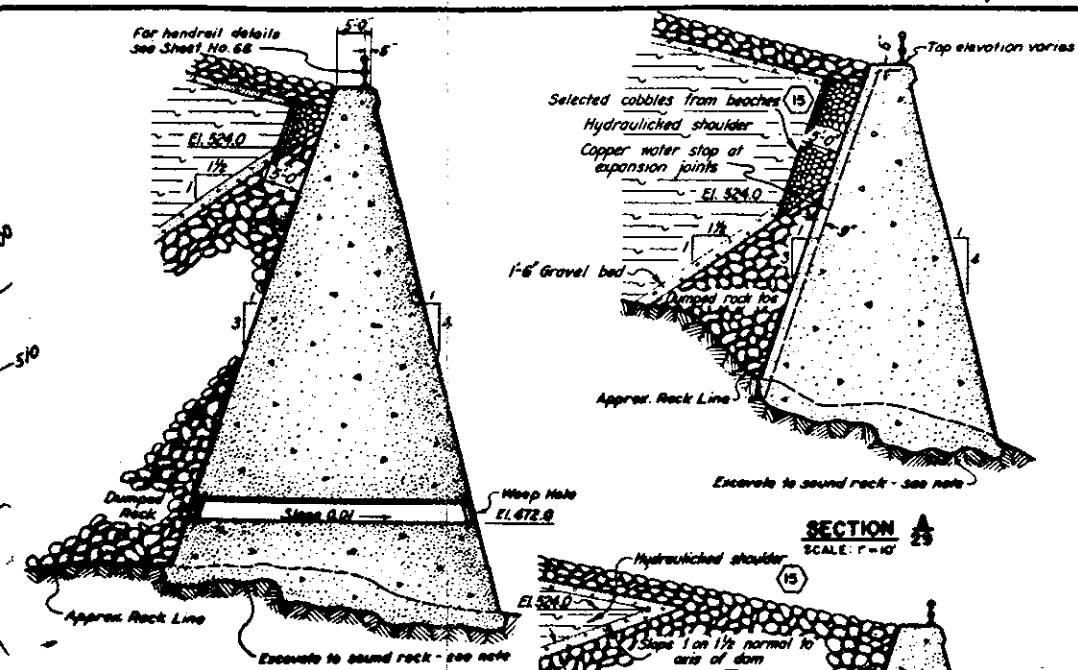
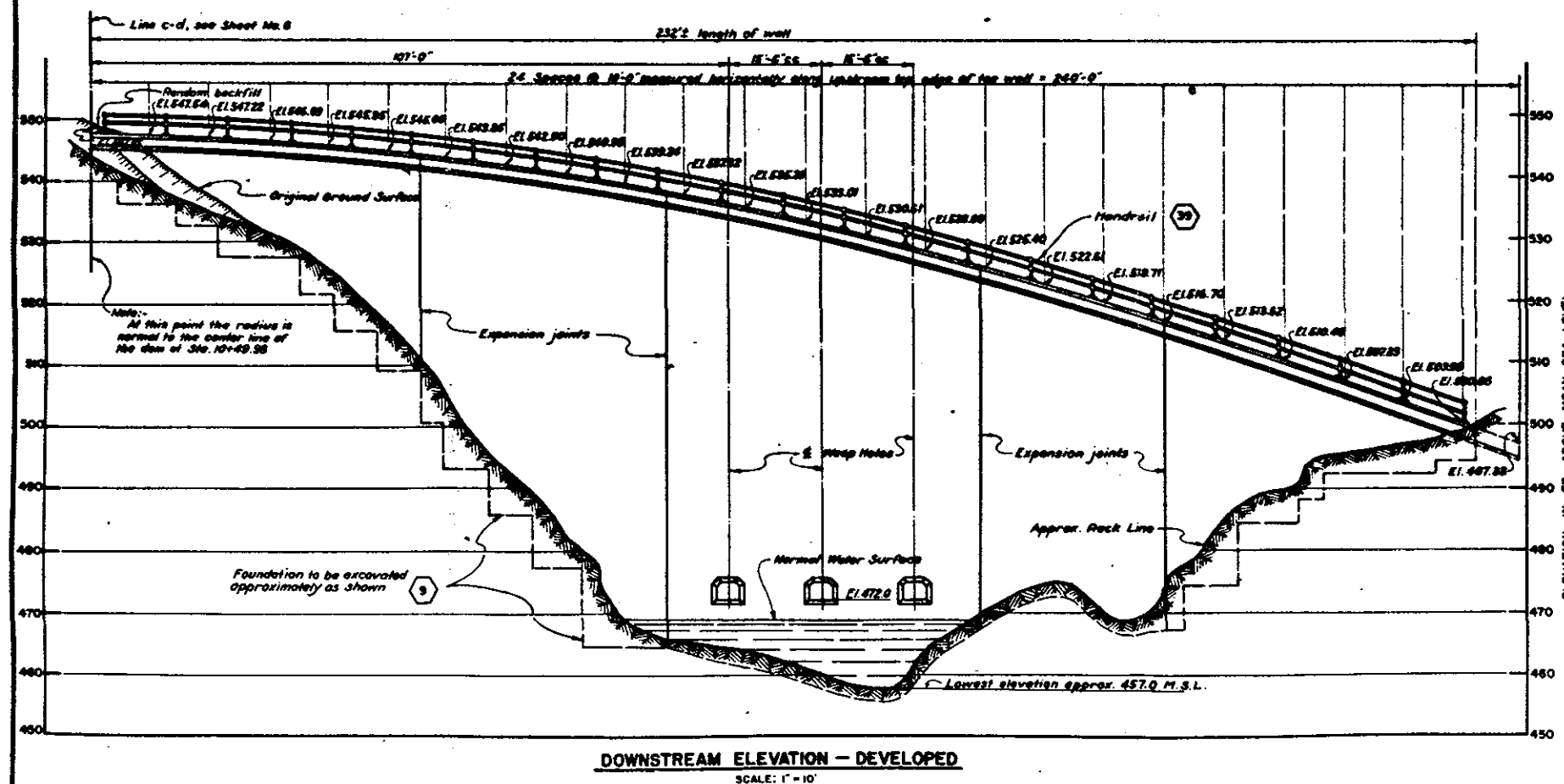
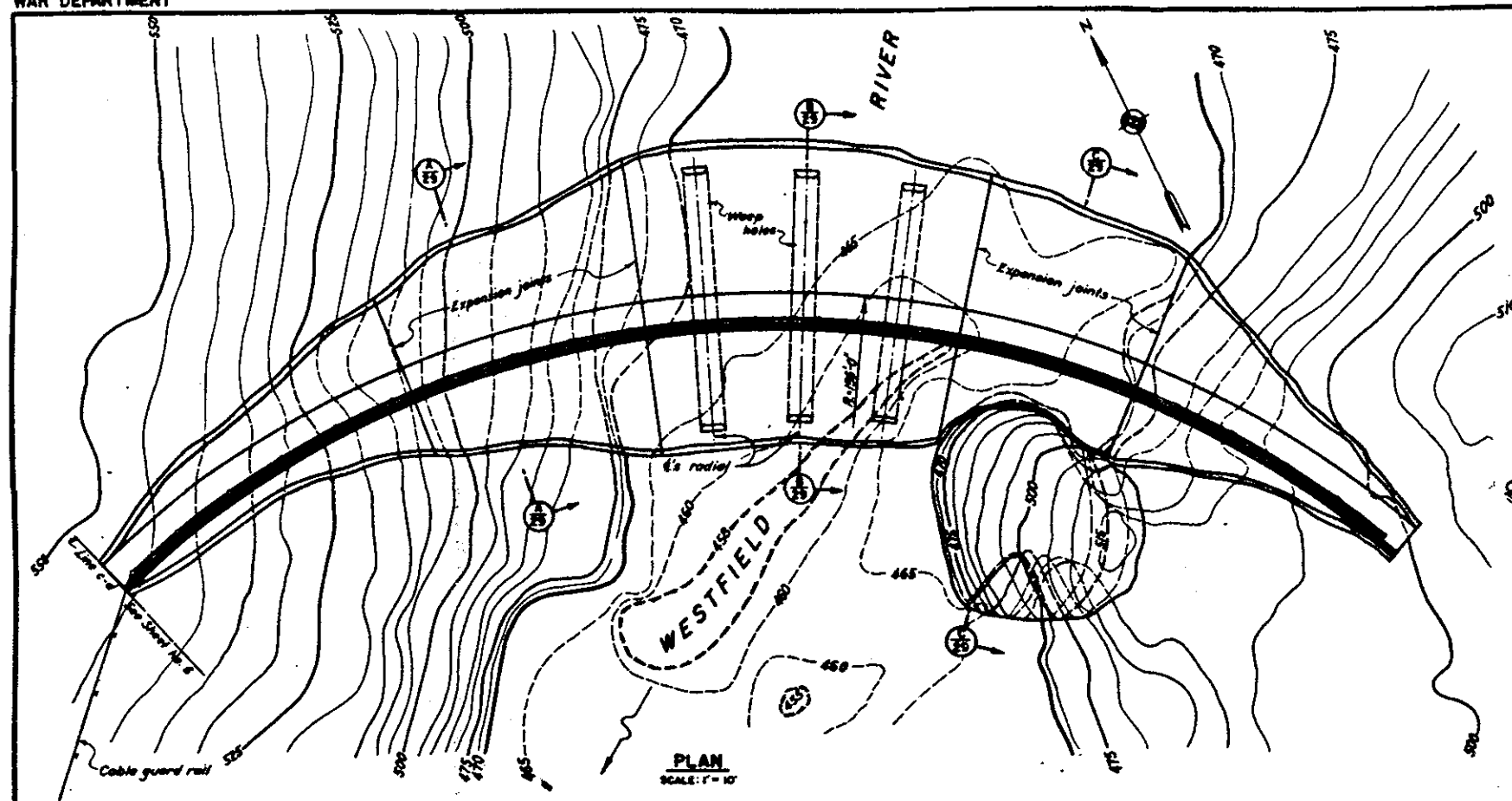












NOTES:
For location of concrete toe wall see Sheet No. 6.
Upstream top edge of toe wall shall coincide with surface of dam.
Figures in hexagons indicate item number under which payment will be made. Concrete shall be Class B and will be paid for under Item No. 30.
Expansion joints shall be spaced approximately as shown.
The depth of foundation excavation for the toe wall will be determined in the field. Payment will be made for the actual quantities excavated.
Expansion joints shall not be coated.
For detail of face of concrete of expansion joints see Sheet No. 27.

CONNECTICUT RIVER FLOOD CONTROL			
KNIGHTVILLE DAM			
CONCRETE TOE WALL			
WESTFIELD RIVER		MASSACHUSETTS	
IN 75 SHEETS		SCALE: 1 IN. = 10 FT.	
SHEET NO. 29		JAN. 1939	
U.S. ENGINEER OFFICE, PROVIDENCE, R.I.			
SUBMITTED: <i>W. B. Hildreth</i>		APPROVED: <i>J. D. Burman</i>	
SENIOR ENGINEER		PERSONAL ENGINEER	
HEAD DESIGN SECTION		CHIEF FIELD ENGINEER	
DRAWN BY: <i>J. S. M.</i>		FILE NO. CT-1-1235	
CHECKED BY: <i>G. C. M.</i>			
DATE: <i>1/1/39</i>			
REVISION		REVIEW: CK. BY: AR. BY:	